

1 PRESTON DuFAUCHARD  
California Corporations Commissioner  
2 ALAN S. WEINGER  
Acting Deputy Commissioner  
3 AFSANEH EGHBALDARI (CA BAR NO. 250107)  
Corporations Counsel  
4 DEPARTMENT OF CORPORATIONS  
1350 Front Street, Room 2034  
5 San Diego, California 92101  
Telephone: (619) 645-3166  
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7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS  
9 OF THE STATE OF CALIFORNIA  
10

11 In the Matter of

12 THE CALIFORNIA CORPORATIONS  
13 COMMISSIONER,

14 Complainant,

15 v.

16 Jose de Jesus Lopez, Rockwell Financial  
17 Network, Inc., Eagle Funding, Phoenix Realty,  
and Financial Plus Investment, Inc.,

18 Respondents.  
19  
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RESPONDENTS STIPULATION TO ORDER  
TO DESIST AND REFRAIN

21 IT IS HEREBY STIPULATED AND AGREED between Jose de Jesus Lopez, Rockwell  
22 Financial Network, Inc., Eagle Funding, Phoenix Realty and Financial Plus Investment, Inc.  
23 (hereafter collectively "Respondents") and the California Corporations Commissioner  
24 ("Commissioner"), as follows:

25 WHEREAS, Respondents admit the jurisdiction of the California Department of  
26 Corporations over their persons and over the subject matter of this Stipulation.

27 WHEREAS, Respondents waive the right to any hearing, including that provided by  
28 California Corporations Code Sections 25532 subdivision (d) and 25609. Further Respondents

1 waive any and all review by a court of law, including that provided by California Government Code  
2 section 11523.

3 WHEREAS, all terms used, but not defined herein, shall have the meaning assigned to them  
4 by the California Corporations Code.

5 WHEREAS, Respondents represent that no promises of any kind or nature whatsoever were  
6 made to induce them to enter into this Stipulation, and that this Stipulation is a voluntary act on the  
7 part of Respondents.

8 WHEREAS, Respondents stipulate to the issuance of the Desist and Refrain Order ("Order"),  
9 in the form attached hereto as Exhibit A, directing Respondents to desist and refrain from the further  
10 offer and sale of unqualified, non-exempt securities in this state and the offer and sale of securities  
11 by means of oral and written communications that contain untrue statements of material facts or omit  
12 to state material facts.

13 WHEREAS, Respondents maintain that the securities were offered and sold to one person.

14 WHEREAS, Respondents, without admitting or denying any of the findings contained within  
15 the Order or this Stipulation, hereby agree and stipulate to the Order.

16 WHEREAS, Respondents agree and acknowledge that nothing in this Stipulation shall  
17 preclude the Commissioner, his agents, officers, or employees, to the extent authorized by law, from  
18 referring any evidence or information regarding this matter to any other state or federal law  
19 enforcement official, or from assisting cooperating, or co-prosecuting with regards to any  
20 investigation and/or action.

21 WHEREFORE, Respondents Jose de Jesus Lopez, Rockwell Financial Network, Inc., Eagle  
22 Funding, Phoenix Realty and Financial Plus Investment, Inc. stipulate and agree to the finality of the  
23 Commissioner's Order. Respondents understand and agree not to represent that this Stipulation is a  
24 settlement or a withdrawal of the Commissioner's Order. Respondents further understand and agree  
25 that the Order remains in effect and that they cannot deny the violations cited in the Commissioner's  
26 Order to make any statement or representation that is inconsistent with this Stipulations or the Order.  
27 Respondents further understand and agree this Stipulation does not prevent the Commissioner from  
28 taking future action in regard to this matter.

PRESTON DuFAUCHARD,  
California Corporations Commissioner

Dated: 7/24/08

By \_\_\_\_\_  
Alan S. Weinger,  
Acting Deputy Commissioner

Dated: 7-21-08

By \_\_\_\_\_  
Jose de Jesus Lopez, Individually and on behalf of  
Rockwell Financial Network, Inc., Eagle Funding,  
Phoenix Realty and Financial Plus Investment, Inc.

Approved as to Form

Dated: 7/24/08

By \_\_\_\_\_  
Irving M. Einhorn,  
Attorney for Respondents